

**RULES**  
Of  
**HOLSTEINER HORSE ASSOCIATION OF NEW ZEALAND INCORPORATED.**  
**MEMORANDUM**

1. The name of the Incorporated Society is HOLSTEINER HORSE ASSOCIATION OF NEW ZEALAND INCORPORATED.

2. The objects for which the Incorporated Society is established are:-

- (i) To apply for the registration of the unincorporated body known as the Holsteiner Horse Association of New Zealand and upon registration to carry on the Incorporated Society in conformity with the Memorandum and Rules of the Society.
- (a) To follow the objects and the Regulations and By-Laws of the Verband der Zuchter des Holsteiner Pferdes from time to time as at the date of incorporation of the Incorporated Society and as may be amended from time to time.
- (b) To apply for license or licenses pursuant to the provisions of the Licensing Laws of New Zealand for the purpose of conducting a social club on the premises which are occupied by the Incorporated Society.
- (c) To enter into such agreements as may be necessary or desirable with the Verband der Zuchter des Holsteiner Pferdes.
- (d) To establish, rent, hire or own grounds and all the equipment and articles for equine sports competition.
- (e) To establish, maintain and carry on club rooms for the accommodation, convenience and enjoyment of members of the Incorporated Society upon premises of which the Incorporated Society is a bona fide occupier and (when duly admitted to the club rooms in accordance with the laws of New Zealand and rules of the Incorporated Society) of all the friends of members and generally to afford all such persons the usual privileges advantages conveniences accommodation and means of enjoyment (including lawful games) as are usually provided for the members of social club.
- (f) To promote and assist any equine sports.
- (g) To purchase or take on lease or occupy under permissive occupancy from time to time such land premises or buildings or part of the building or any personal property as may be required for the purposes of the Incorporated Society and to construct alter or to maintain the said land or any premises or any building or part of a building required for the purpose of the Incorporated Society and from time to time to sell transfer let sub-let mortgage charge pledge dispose of or otherwise deal with the whole or any part of the land or premises or buildings or part of a building owned or leased by the Incorporated Society.
- (h) To purchase acquire provide make hire establish and maintain and supply to or for it's members whether free of charge or otherwise all house-hold goods stores meals tobaccos linen books furniture furnishings ornaments trophies magazines paper stationery machinery tools billiard tables chess draughts sporting and amusement requisites materials and facilities for lawful games and any other articles and things which may be found necessary or convenient to equip fully the club rooms for all purposes or for any other objects of the Incorporated Society.
- (i) To apply from time to time for registration pursuant to the provisions of the liquor control act of the country for the time being in force or for such licence or licenses as it may be from time to time necessary to obtain whether pursuant to that Act or any other Act or Acts to enable the club to provide for or sell to members beer, spirits or liquors, tobaccos, or any other goods and to apply from time to time for the renewal or extension of such registration or licence.
- (J) To engage and employ from time to time trainers instructors coaches secretaries or managers and all other persons considered necessary for carrying on the activities of the Incorporated Society and to dismiss such persons and to pay such persons in return for services rendered to the Incorporated Society salaries and wages, bonuses gratuities and superannuation schemes.
- (k) To promote and hold either alone or together with or against any other Club body of person or persons meeting competitions and matches in connection with equine sports and all kinds of indoor and outdoor sports or pastimes and give or contribute towards the giving of prizes medals and awards therefore.
- (l) To establish promote or assist in establishing or promoting or to subscribe to or become a member of, or amalgamate with any Club Association, League or Incorporated Society with objects similar to those of the Incorporated Society, or the establishment or promotion of or amalgamation with which may be beneficial to the Incorporated Society, and to pay any subscription fee or sum payable to such League, Club, Association or Incorporated Society which shall be paid bona fide in furtherance of the objects, or some of the objects of the Incorporated Society Provided that no amalgamation may be made with any Club or Association without the prior approval being given by the Incorporated Society.
- (m) In furtherance of the objects of the Incorporated Society to amalgamate with any Companies Institutions, Societies, or Associations having objects altogether or in part similar to those of the Incorporated Society and which shall prohibit the distribution of its or their income and property among its or their members to an extent at least as great as that imposed upon the Incorporated Society under or by virtue of clause 3 of the Memorandum.

- (n) In furtherance of the objects of the Incorporated Society to purchase or otherwise acquire and undertake all or any part of the property, assets, liabilities and engagements of any one or more of the companies, institutions, societies or associations with which the Incorporated Society is authorised to amalgamate.
- (o) To establish and subscribe to Provident Funds.
- (p) To accept any trophy donation or gift or any real or personal property as subject to negotiation with the donor. To make donations for patriotic or charitable purposes.
- (q) To invest and deal with the monies of the Incorporated Society not immediately required for carrying on the Incorporated Society upon such security and in such manner as may from time to time be determined by the Committee of the Society.
- (r) To borrow or raise or secure the payment of money in such manner as the Incorporated Society shall think fit and in particular by the issue of debentures and debenture stock perpetual or otherwise and /or by similar mortgage or charge or lien upon all or any part of the property or assets (whether present or future) of the Incorporated Society and to purchase redeem or pay off any such loans or securities or accept the surrender of same.
- (s) To draw, make, accept, endorse, execute, discount and issue promissory notes, bills of exchange, debentures and other negotiable or transferable instruments and to give any guarantee for the payment of money or the performance of any obligation or undertaking and in that behalf to give any security upon the property of the Incorporated Society.
- (t) To insure against all risks liabilities and eventualities as may seem advisable and to apply the proceeds of any claim under any insurance in such manner and for such purpose or purposes as shall be thought fit.
- (u) To enter into contracts and to do all such other lawful things as are incidental or conducive to the attainment of the above objects or any of them or which may be calculated to advance directly or indirectly the interests of the Incorporated Society.
- (v) To carry on any other business which may seem to the Incorporated Society capable of being conveniently carried on in connection with its business or calculated directly or indirectly to enhance the value of or render profitable any of the Incorporated Society's properties or Rights.
- (w) To adopt rules regulations and By-Laws for the conduct of the running of the Incorporated Society.
- (x) To advance and promote the Holsteiner horse for pleasure and competitive equine sports.
- (y) To supervise and direct, as well as register the breeding programs of members for improvement of the Holsteiner horse.
- (z) To register Holsteiners and their offspring and to give temporary appendix registration for suitable young stallions of Holsteiner offspring and to classify and grade mares.
- (aa) To establish a Sub-Committee of the Incorporated Society to select, classify, performance test, and direct breeding procedures of Holsteiner horses
- (bb) The Incorporated Society shall not support with its funds or endeavour to impose on or procure to be observed by its members or others any regulations or restriction which if any object of the Incorporated Society would make it a trade union within the meaning of the trade unions legislation of New Zealand.
- (cc) The income and property of the Incorporated Society whencesoever derived shall be applied solely towards the promotion of the objects of the Incorporated Society as set forth in this Memorandum of Association and no portion thereof shall be paid or transferred directly or indirectly by way of dividend bonus or otherwise howsoever by way of profit to members of the Incorporated Society provided that nothing herein shall prevent the payment, in good faith, of reasonable and proper remuneration to any officer or servant of the Incorporated Society or to any member of the Incorporated Society in return for any services actually rendered to the Incorporated Society nor prevent the payment of interest at a rate not exceeding interest at the rate for the time being charged by Bankers in New Zealand for overdrawn accounts on money lent, or reasonable and proper rent for premises demised or let by any member of the Incorporated Society; but so that no member of the council of management or governing body of the Incorporated Society shall be appointed to any salaried officer of the Incorporated Society or any officer of the Incorporated Society paid by fees, and that no remuneration or other benefit in money or money's worth shall be given by the Incorporated Society to any member of such council or governing body except re-payment of out of pocket expenses and Interest at the rate aforesaid on money lent or reasonable and proper rent for premises demised or let to the Incorporated Society. Provided that the provisions last aforesaid shall not apply to any payment of any Incorporated Society of which a member of the council of management or governing body may be a member and in which such member shall not hold more than a one-hundredth part of the capital and such member shall not be bound to account for any share or profits he may receive in respect of such payment.
- (a) The liability of members of the Incorporated Society is limited.
- (b) Every member of the Incorporated Society undertakes to contribute to the property of the Incorporated Society in the event of it being wound up while he is a member or within one year after he ceases to be a member for payment of the debts and liabilities of the Incorporated Society contracted before the time at which he ceases to be a member and of the costs charges and expenses of winding up the Incorporated Society and for the adjustments of the rights of the contributories amongst themselves such amount as may be required not exceeding twenty dollars (\$20-00)
- (d) If upon the winding up or dissolution of the Incorporated Society there remains after satisfaction of all of its debts and liabilities and property whatsoever the same shall not be paid to or distributed among the members of the Incorporated Society but shall be

given or transferred to some other institution or institutions having objects similar to the Incorporated Society and which shall prohibit the distribution of its or their income and property among its or their members to an extent at least as great as is imposed on the Incorporated Society under or by virtue of clause 3 hereof such institution or institutions to be determined by the members of the Incorporated Society on or before the time of dissolution, and if and so far as effect cannot be given to the aforesaid provision, then to some charitable object .

(e) True accounts shall be kept of the sums of money received and expended by the Incorporated Society and the manner in respect of which such receipts and expenditure takes place and of the property credits and liabilities of the Incorporated Society and, subject to any reasonable restrictions as to the time and manner of inspecting the same that may be imposed in accordance with the regulations of the Incorporated Society for the time being in force, shall be open to the members Once at least in every year, the accounts of the Incorporated Society shall be examined by one or more properly qualified Auditor or Auditors.

## **RULES OF THE SOCIETY**

### **1 Preliminary**

In these Rules unless there is something in the subject or context inconsistent therewith:

- (a) "The Act" means the The Incorporated Societies Act 1908 and any statutory modification thereof.
- (b) "The Incorporated Society" means HOLSTEINER HORSE ASSOCIATION OF NEW ZEALAND INCORPORATED .
- (c) "The Committee" means and includes the members of the Committee of the Incorporated Society for the time being duly acting as such.
- (d)" Life Member" means a member for the time being of the Incorporated Society.
- (e) "Ordinary Member" means a member for the time being of the Incorporated Society.
- (f) "Junior Member" means a member under the age of eighteen years if still a secondary student, or until they leave secondary school if this occurs before the 18<sup>th</sup> birthday.
- (g) "Month" means a calendar month.
- (i) "The Office" means the registered office for the time being of the Incorporated Society.
- (i) "The Register" means the Register of Members of the Incorporated Society to be kept pursuant to the Act.
- (j) "The Seal" means the Common Seal of the Incorporated Society.
- (k) "The Secretary" means the Secretary for the time being of the Incorporated Society and includes the Acting Secretary (if any) of the Incorporated Society.
- (l) "The Manager" means and includes any person from time to time appointed by the committee to perform under that name such specified duties and powers of the Incorporated Society as may be directed or defined by the Committee or these Rules.
- (m) "The Unincorporated Association" means the unincorporated body which at the time of the incorporation of the Incorporated Society was known as The Holsteiner Horse Association of Australia and New Zealand".
- (n) "Year" means calendar year.
- (o) Words importing persons include corporations.
- (p) Words importing the singular include the plural number and vice versa.
- (q) President means such person as shall be elected at an annual general meeting or replacement from time to time.

(r) Vice President means such person as shall be elected at an annual general meeting or replacement from time to time.

(s) Men include women and vice versa.

(t) Except as otherwise provided these Rules shall be construed with reference to the provisions of the Act and terms used in these Rules unless otherwise herein defined shall be taken as having the same respective meaning as they have when used in the Act.

(u) "Maturity" shall mean a minimum of 5 years of age unless otherwise assessed by the breeding directive committee of the Holsteiner Horse Association of New Zealand ("the Association").

(v) "International Recognition" shall mean such standards that are generally accepted on an international level of competition.

(w) "Under saddle" shall mean bearing a saddle and rider and all associated riding track.

(x) "Improvement Sire" shall mean a stallion which in the absolute discretion of and by the Breeding Directive Committee may be deemed as eligible for registration in a specified class of breeding if that stallion demonstrates any one of the following:-

(y) "Outstanding performance" ability in any one of the International Olympic Equestrian disciplines as recognised by the Association.

## **2 Objects of the Society**

The Incorporated Society is established for the objects set out in the Memorandum of Association of the Incorporated Society and in particular: -

- (a) To advance and promote the Holsteiner horse for pleasure or competitive equine sports.
- (b) To supervise and direct, as well as register the breeding programs of members for the improvement of the Holsteiner horse.
- (c) To register Holsteiners and their offspring belonging to members.
- (d) To classify and grade mares belonging to members for registration in the various StudBooks.
- (e) To performance test Holsteiner stallions belonging to members, and any other Warmblood deemed necessary by the selection committee.
- (f) To register and classify Holsteiner stallions.
- (g) To register and classify Holsteiner, Thoroughbred/Holsteiner, Thoroughbred, Holsteiner/Anglo-Arab, Holsteiner Warmblood and Warmblood mares and geldings in their respective stud books.

## **3 Membership**

.The number of members proposed to be registered with the Incorporated Society is unlimited.

(a) The subscribers to the Rules of the Society and such other persons as the Committee shall admit to membership in accordance with these Rules shall be members of the Incorporated Society.

(b) The members of the Incorporated Society shall be as follows:

### **Ordinary members**

(1) Any person who is nominated and approved for membership as provided in these Rules upon payment of the full annual subscription as fixed at the Annual General Meeting of the Incorporated Society shall be eligible to be an ordinary member of the Incorporated Society.

(ii) All ordinary members shall be entitled to vote at any general meeting of the Incorporated Society and to stand for election as office bearers or committee members of the Incorporated Society.

#### **Junior members**

(i) Any male or female person who is under the age of 18 years or who is still a secondary school student upon payment of the subscription as fixed at the annual general meeting of the Incorporated Society shall be eligible to be a junior member of the Incorporated Society.

(ii) Except as otherwise provided in these Rules junior members shall not be eligible to hold office or to vote at any meeting of the Incorporated Society.

(iii) On attaining the age of 18 years or upon completing his or her secondary education, whichever shall be the earlier, junior members shall be ineligible to continue in such class of membership but will be eligible for nomination and election for full membership of the Incorporated Society as provided in these Rules without delay.

#### **Family Members**

A family membership shall be available for couples living in the same household and any number of their own children under 18 and attending secondary school (see 3.iii above) living in that household. The couple qualify as Ordinary Members (as above) and the children as Junior Members (as above).

#### **Life Members**

- (i) Any person, who has rendered outstanding service, may be recommended by the Committee for appointment as a life member. Such recommendation shall not be effective until endorsed by a majority of members present and entitled to vote at any annual general meeting of the Incorporated Society.
  - (ii) The number of life members at any one time shall not exceed five.
  - (iii) Life members shall have the same rights, as ordinary members however they shall not so long as they remain life members be required to pay any annual subscription.
  - (iv) The life membership of any person may be revoked by not less than three-quarters of the members present and entitled to vote at any general meeting of the Incorporated Society.
- (a) Any person who is not a member of the Incorporated Society at the date of incorporation of the Incorporated Society (or who was such a member at that time but has ceased to be a member) shall not be admitted to any class of membership;
  - (b) Unless he or she is nominated as provided in these Rules and
  - (c) His or her admission as a member is approved by the Committee.
  - (d) A nomination of a person for membership of the Incorporated Society;
  - (e) Shall be made in writing in the form provided by the Incorporated Society from time to time and
  - (f) Shall be lodged with the Secretary of the Incorporated Society.
  - (g) As soon as is practicable after the receipt of a nomination the Secretary shall refer the nomination to the Committee.
  - (h) Upon a nomination being referred to the Committee the Committee shall determine whether to approve or to reject the nomination.
  - (i) Upon a nomination being approved by the Committee, the Secretary shall, with as little delay as possible, notify the nominee in writing that he is approved for membership of the Incorporated Society and request payment within the period of 28 days after receipt of the notification of the sum payable under these Rules as the first year's annual subscription.

(j) The Secretary shall upon payment of the amount referred to in the immediately preceding sub-article within the period referred to in that sub-article enter the nominee's name in the Register of Members kept by him and upon the name being so entered the nominee becomes a member of the Incorporated Society.

(k) A right privilege or obligation of a person by reason of his membership of the Incorporated Society:

- (i) Is not capable of being transferred or transmitted to any other person;
- (ii) terminates upon the cessation of his membership whether by death or resignation or otherwise.

#### **4 Entrance Fee and Annual Subscription.**

(a) There shall be no entrance fee.

(b) The annual subscription payable by the members of the Incorporated Society shall be such amount as is determined by the members at the annual general meeting in each year save that in the year of the incorporation the Committee shall determine the annual subscription for that year.

(c) The annual subscription shall become due on the 1<sup>st</sup> day of October of the year of the AGM and cover the period to the 1<sup>st</sup> day of October on the following year.

#### **5 Register of Members**

Subject to the appropriate provisions of the Act the Treasurer shall keep and maintain a register of members in which shall be entered the full name address (postal and electronic) and date of entry the name of each member and the register shall be available for inspection by the members at the address of the Secretary.

#### **6 Resignation of Members**

(a) A member of the Incorporated Society who has paid all moneys due and payable by him to the Incorporated Society may resign from the Incorporated Society by first giving one month's notice in writing to the Secretary of his intention to resign and upon the expiration of that period of notice the member shall cease to be a member.

(b) Upon the expiration of a notice given under this article the Secretary shall make in the Register of Members an entry recording the date on which the member by whom the notice was given ceased to be a member.

#### **7 Discipline of Members**

(a) Subject to these Rules the Committee may by resolution: -

- (i) Expel a member from the Incorporated Society,
- (ii) Suspend a member from membership of the Incorporated Society for a specified period or
- (iii) Fine a member if the Committee is of the opinion that the member: -
  - (a) Has refused or neglected to comply with these Rules or has been guilty of conduct unbecoming a member or prejudicial to the interests of The Incorporated Society.

(b) A resolution of the Committee under sub-rule (a): -

- (i) Does not take effect until the Committee at a meeting held not earlier than fourteen and not later than twenty-one days after the service on the member of a notice under sub-rule (c) confirms a resolution in accordance with this Rule and
- (ii) Where the member exercises a right of appeal to the Incorporated Society under this article does not take effect unless the Incorporated Society confirms the resolution in accordance with this Rule.

(c) Where the Committee passes a resolution under sub-clause (a) the secretary shall as soon as practicable cause to be served on the member a notice in writing: -

- (i) Setting out the resolution of the Committee and the grounds on which it is based;
- (ii) Stating that the member may address the Committee at a meeting to be held not earlier than fourteen and not later than twenty-eight days after service of the notice .
- (iii) Stating the date places and time of that meeting;
- (iv) Informing the member that he may either attend the meeting, give to the Committee before the date of that meeting a written statement seeking the revocation of the resolution or not later than twenty-four hours before the date of the meeting lodge with the Secretary a notice to the effect that he wishes to appeal to the Incorporated Society in general meeting against the resolution.

(d) At a meeting of the committee held in accordance with sub-rule (b) the Committee: -

- (i) Shall give to the member an opportunity to be heard;
- (ii) Shall give due consideration to any written statements submitted by the member and
- (iii) Shall by resolution determine whether to confirm or revoke the resolution.

(e) Where the Secretary receives a notice under sub-rule (c) he shall notify the committee and the committee shall convene a general meeting of the Incorporated Society to be held within twenty-one days after the date on which the Secretary receives the notice.

(f) At a general meeting of the Incorporated Society convened under sub-rule (e):-

- (i) No business other than the question of the appeal shall be transacted;
- (ii) The committee may place before the committee details of the grounds for the resolution and the reasons for the passing of the resolution;
- (iii) The member shall be given an opportunity to be heard and
- (iv) The members present shall vote by secret ballot on the question whether the resolution should be confirmed or revoked.

(g) If at the general meeting:-

- (i) Two thirds of the members vote in person in favour of the confirmation of the resolution the resolution is confirmed and in any other case the resolution is revoked.

## **8 Annual General Meeting**

(a) An Annual General Meeting of the members of the Incorporated Society shall be held not earlier than the 31<sup>st</sup> May nor later than the 31<sup>st</sup> day of September in each year.

(b) The Annual General Meeting shall be specified as such in the Notice convening it.

(c) The ordinary business of the Annual General Meeting shall be: -

- (i) To confirm the minutes of the preceding annual general meeting and of any general meeting held since that meeting;'
- (ii) To receive from the Committee reports upon the transactions of the Incorporated Society during the last preceding financial year
- (iii) To elect officers of the Incorporated Society and ordinary members of the committee.
- (iv) To receive and consider the committee's report and the balance sheet and statement of receipts and expenditure;

(v) To consider any business of which due notice has been given by the Incorporated Society to the members or of which fourteen days notice has been given in writing or electronic to the Secretary signed by any six financial members of the Incorporated Society.

(d) The Annual General Meeting shall be in addition to any other general meeting that may be held in the same year.

(e) A printed copy of the report and the balance sheet and statement of receipts and expenditure shall be sent to the members of the Incorporated Society at least fourteen days prior to the holding of the annual general meeting.

## **9 Extraordinary General Meetings**

(a) All other Meetings of the ordinary members called as provided in Article 10 shall be and are herein called Extraordinary General Meetings.

(b) An Extraordinary General Meeting may be called to take place on the same day as an Annual General Meeting and may either precede or follow same.

## **10 Convening Extraordinary General Meetings**

(a) Subject to the provisions of the Act, on a requisition in writing signed by six Members of the Incorporated Society being delivered to the Secretary, the Secretary shall within twenty-eight days from receipt of such requisition call an Extraordinary General Meeting of the members of the Incorporated Society.

(b) The Secretary shall give members of the Incorporated Society twenty one days notice of such meeting by advertising such meeting in a local newspaper circulating throughout the district or by post or electronic means.

(c) The meeting shall be convened not later than two months after receipt by the Secretary of the requisition calling the Extraordinary General Meeting. The requisition must state precisely the objects of such meeting and such objects shall appear in the advertisement of the same or in a more abbreviated form.

(d) The meeting shall be convened not later than two months after receipt by the Secretary of the requisition calling the Extraordinary General Meeting.

(e) The Committee shall have power to call an Extraordinary General meeting of the Incorporated Society whenever it may have matters under consideration upon which it seems necessary or desirable to obtain the ruling of the members provided always that a similar notice of meeting as provided in this article shall be given to the members of the Incorporated Society.

## **11 Quorum at General Meetings**

Five members of the committee shall constitute a quorum at the Annual General Meeting or any Extraordinary General Meeting of the Incorporated Society and no business shall be transacted at any Annual General Meeting or any Extraordinary General Meeting of the Incorporated Society unless a quorum be present at the commencement of and throughout the transaction of any business conducted at such meeting.

## **12 Alteration of Rule**

No new Article or alteration of any Article shall be made except by a three-fourth majority of votes of all financial members recorded either in person or by proxy at an Annual General Meeting or an Extraordinary General Meeting called for the purpose in accordance with the Act.

## **13 Voting at Meetings and Elections**

Any ordinary member may record his vote personally or by proxy at either an Annual General Meeting or an Extraordinary General Meeting.

**14 Equality of Votes**

In the event of an equality of votes the Chairman at any Meeting shall have a casting vote in addition to his vote as a member.

**15 When Quorum Not Present**

If within quarter of an hour from the time appointed for either an Annual General Meeting or an Extraordinary General Meeting a quorum is not present, the meeting (if convened upon such requisition as is provided in Rule 10 hereof) shall be dissolved or (in any other case) shall stand adjourned to the same day in the next week at the same hour and place and if at such adjourned meeting a quorum is not present, those members who are present shall constitute a quorum and may transact the business for which the meeting was called.

**16 When President is not Present**

The President shall be entitled to take the chair at every meeting whether an Annual General Meeting or an-Extraordinary General Meeting or Committee meeting but if there be no President or if at any meeting he shall not be present within fifteen minutes after the time appointed for holding such meeting or being present shall decline to take the chair, the Vice President shall be Chairman thereof and if there is no Vice President present or willing to act, then some other member of the Committee shall be chosen and if no member of the Committee be present or if all the members of the Committee present decline to take the chair, then the ordinary members present shall choose one of their own numbers to be Chairman

**17 Power to Adjourn General Meeting**

The Chairman may with the consent of the meeting adjourn any meeting from time to time and place to place but no business shall be transacted at any such adjourned meeting other than the business left unfinished at the meeting from which the adjournment took place.

**18 Declaration of Chairman Conclusive**

At any Annual or Extraordinary General Meeting or any Committee Meeting, a resolution put to the vote of the Meeting shall be decided upon a show of hands unless a poll is demanded (whether before or after the declaration of the result by a show of hands) by the Chairman or by not less than three members personally present or by proxy and unless a poll is so demanded, a declaration by the Chairman that a resolution has on a show of hands been carried or lost and an entry to that effect in the book or proceedings of the Incorporated Society, shall be conclusive evidence of the fact without proof of the number of or proportion of the votes received in favour of or-against..

**19 Poll**

If a poll is demanded in any manner aforesaid the same shall be taken in such manner as the Chairman directs and the result of the poll shall be deemed to be the resolution of the meeting at which the poll was demanded.

**20 Order and Procedure at Meeting**

At all meetings when questions of order and procedure shall arise the ruling of the Chairman of the meeting shall be accepted as final.

**21 Office Bearers**

- (a) The office bearers of the Incorporated Society shall be: -
- (i) a Patron;
  - (ii) a President;
  - (iii) a Vice President;

(iv) a Treasurer;

(v) a Secretary

(b) Each officer of the Association shall hold office until the Annual General Meeting next after the date of his election but is eligible for re-election.

(c) In the event of a casual vacancy in any office referred to in sub-clause (a), the Committee may appoint one of its members to the vacant office and the member so appointed may continue in office up to and including the conclusion of the Annual General meeting, following the date of his appointment.

(d) If so elected at any Annual General Meeting a member may hold one or more office.

## 22 Composition of Committee

(a) The Committee shall comprise

(i) The office bearers of the Incorporated Society and

(ii) Such ordinary members of the Incorporated Society as shall be elected at the Annual General Meeting of the Incorporated Society in each year. These should number at least 4 and no more than 7 members with at least 2 coming from each main island of New Zealand.

(iii) Each ordinary member of the Committee shall subject to these Rules hold office until the Annual General Meeting next after the date of his election but is eligible for re-election.

(iv) In the event of a casual vacancy occurring in the office of the ordinary member of the Committee, the Committee may appoint a member of the Incorporated Society and the member so appointed shall hold the office subject to these Rules until the conclusion of the Annual General Meeting next following the date of his appointment.

## 23 Election of Committee

Nominations of candidates for election as Office bearers of the Incorporated Society or as ordinary members of the Committee: -

(i) Shall be made in writing signed by two members of the Incorporated Society and accompanied by the written consent of the candidate (which may be endorsed on the form of nomination) and

(ii) Shall be delivered (direct, post or electronic) to the Secretary of the Incorporated Society not less than seven days before the date fixed for the holding of the annual general meeting.

(b) If insufficient nominations are received to fill all vacancies on the committee the candidates nominated shall be deemed to be elected and further nominations shall be received at the Annual General Meeting.

(c) If the number of nominations filled is equal to the number of vacancies to be filled the persons nominated shall be deemed to be elected.

(d) If the number of nominations exceeds the number of vacancies to be filled a ballot shall be held.

(e) The ballot for the election of officers and ordinary members of the committee shall be conducted at the annual general meeting in such usual and proper manner as the committee may direct.

(f) A nomination of a candidate for election under this Rule is not invalid if that candidate has been nominated for another for election at the same election.

## 24 Vacancy of Committee Membership

For the purposes of these Rules the office of an officer of the Association or of an ordinary member of the committee becomes vacant if the officer or member: -

(i) Ceases to be a member of the Incorporated Society,

(ii) Becomes an insolvent under administration within the meaning of the Incorporated Societies Act or

(iii) Resigns his office by notice in writing given to the Secretary.

## **25 Management of Incorporated Society**

(a) The management of the Incorporated Society shall be vested in the Committee as constituted in Rule 22 hereof.

(b) The Committee shall have sole charge of the affairs of the Incorporated Society and shall appoint and dismiss all officers and servants of the Incorporated Society and shall also have the power to do all such things as may appear to the Committee to be necessary or desirable for the efficient management of the Incorporated Society and the administration of its affairs save and except as it affects the sub-committees.

## **26 Procedure of Committee**

(a) The Committee shall meet at least three times in each year at such place and at such times as the Committee may determine.

(b) Special meetings of the committee may be convened by the President or by any three members of the Committee.

(c) Notice shall be given to members of the Committee of any special meeting specifying the general nature of the business to be transacted and no other business shall be transacted at such a meeting.

(d) Any four members of the committee constitute a quorum for the transaction of the business of a meeting of the Committee.

(e) No business shall be transacted, unless a quorum is present, and if within half an hour of the time appointed for the meeting a quorum is not present the meeting shall stand adjourned to the same place and at the same hour of the same day in the following week unless the meeting was a special meeting in which case it lapses.

(f) At meetings of the Committee: the President or in his absence a Vice President shall preside, If the President and the Vice President are absent such one of the remaining members of the Committee as may be chosen by the members present shall preside.

(g) Questions arising at a meeting of the Committee shall be determined on a show of hands or if demanded by a member by a poll taken in such manner as the person presiding at the meeting may determine.

(h) Each member present at a meeting of the Committee is entitled to one vote and in the event of an equality of votes on any question the person presiding may exercise a second or casting vote.

(i) Written notice of each committee meeting shall be served on each member of the committee by delivering it to him at a reasonable time before the meeting or by sending it by pre-paid post addressed to him at his usual or last known place of abode at least two business days before the date of the meeting.

(j) Subject to sub-rule (d) hereof the committee may act notwithstanding any vacancy on the committee.

## **27 Registrar, Assistant Registrar and Studbook Keeper**

The Registrar, the Assistant Registrar and Studbook Keeper shall be appointed by the Committee of the Incorporated Society. It is the responsibility of the Registrar to keep a record of all stallion service certificates, foal recordings, horse registrations details of classifications, the branding, microchipping and DNA results of horses and to issue certificates used by the Incorporated Society. The Registrar, Assistant Registrar and Studbook Keeper will work as a team and, in general, the new entries into the stud books or changes in status of horses within the stud books will be considered by the Registrar/Assistant Registrar and be then passed on to the Studbook Keeper for recording. The Studbook Keeper will do the recording, keep the studbooks in good order and answer routine legitimate questions from the public about the studbooks. The position of horses in the stud books and all classification results are considered information that is in the public arena and available to the public in answer to legitimate requests.

## **28 Duties of Secretary**

(a) The Secretary of the Incorporated Society shall keep minutes and resolutions of proceedings of each general meeting and each committee meeting in books provided for that purpose together with a record of the names of persons present at committee meetings.

(b) Books of the Incorporated Society referred to in sub-article (a) hereof shall not be available for inspection by members except in accordance with Article 38 hereof.

(c) The Secretary shall at all times carry out such directions as are lawfully given at the meetings of the Incorporated Society and its Committees.

## **29 Duties of Treasurer**

(a) The Treasurer of the Incorporated Society: -

(i) Shall collect and receive all moneys due to the Incorporated Society and make all payments authorised by the Incorporated Society and

(ii) Shall keep correct accounts and books showing the financial affairs of the Incorporated Society with full details of all receipts and expenditure connected with the activities of the Incorporated Society.

(iii) Shall keep a record of financial members of the Society.

(b) The accounts and books referred to in sub-rule (a) hereof shall not be available for inspection by members except in accordance with Rule 38 hereof.

## **30 Sub-Committees**

There shall be four sub-committees of the Incorporated Society established in accordance with Rule 30 and 42 below as follows: -

(i) The Classification Committee.

The Classification Committee is responsible for grading horses in accordance with the By-laws of the Incorporated Society.

(ii) The Performance Testing Committee:-

The Performance Testing Committee is responsible for the performance testing of mares and stallions and it shall create guidelines for such performance testing which may be amended by it from time to time as it thinks fit in its absolute discretion.

(iii) The Breeding Directive Committee:-

The Breeding Directive Committee is responsible for the breeding of the Holsteiner horse, Selection of stallions outside the breed and the control of artificial insemination and embryo transplants in accordance with these Rules.

(iv) The Disputes Committee:-

The Disputes Committee hears disputes that arise in the work of the other sub-committees or from the Registrar and have the power to investigate any matters referred to them by the committee.

## **31 Additional Powers of Committee**

Without prejudice to the general powers conferred by these Rules it is hereby expressly declared that the Committee shall have and may exercise for and on behalf of the Incorporated Society, all of the powers vested in the Incorporated Society by its Rules and all of the powers vested in or conferred upon the Committee of the Incorporated Society by the Act and in addition and without affecting the same the Committee shall have the following powers that is to say: -

- (a) To purchase or otherwise acquire for the Incorporated Society any property rights or privileges which the Incorporated Society is authorised to acquire at such price and generally on such terms and conditions, as it thinks fit.
- (b) To secure the fulfilment of any contracts or engagements entered into by the Incorporated Society by mortgage or charge of all or any of the property of the Incorporated Society or in such other manner as it may think fit.
- (c) To sell exchange lease mortgage dispose of turns to account or otherwise deal with all or any part of the property or rights of the Incorporated Society.
- (d) To appoint and, at its discretion, to remove or suspend such Managers, Secretaries, Officers, Clerks, Agents and Servants of all descriptions to or from permanent or temporary or special appointments or services as it may from time to time think fit and to determine their powers and duties and fix their salaries and emoluments.
- (e) To institute, conduct, defend, compound or abandon any legal proceedings by or against the Incorporated Society or its Officers or otherwise concerning the affairs of the Incorporated Society and also to compound and allow time for payment of satisfaction of any debts due and of any claims or demands by or against the Incorporated Society.
- (f) To make and give receipts releases and other discharges for money payable to the Incorporated Society and for the claims and demands of the Incorporated Society.
- (g) To determine who shall be entitled to sign on the Incorporated Society's behalf bills, notes, receipts, acceptances and endorsements cheques, releases, contracts and documents.
- (h) To invest and deal with any moneys of the Incorporated Society not immediately required for the purposes thereof upon such securities and in such manner as they may think fit from time to time vary or realise such investment.
- (i) To do all such acts matters and things necessary to implement its said powers.
- (j) To appoint a member or members of the Incorporated Society to act as Trustee or Trustees of such property of the Incorporated Society as cannot be conveniently vested in the Incorporated Society itself.
- (k) Jointly with any donor or with the approbation or sanction of such donor to appoint a member or members of the Incorporated Society to act as Trustee or Trustees of any donation, gift or other property (conditional or unconditional) which may be made to the Incorporated Society for the purpose of any specific object purpose or benefit of or for the Incorporated Society or in aid of its general objects.
- (l) To define the trusts and powers to be vested in the Trustee or Trustees of any donation, gift or other property of the Incorporated Society.
- (m) To remove or discharge any Trustee or Trustees and supply any vacancy in the office and decide on the mode of investing or dealing with the moneys and investments of the Incorporated Society.

### **32 Appointment of Sub-Committees.**

The Committee may in addition to the sub-committees hereinbefore referred to, appoint any such sub-committee from amongst its members to deal with any special subject department or matter of administration of the Incorporated Society upon such conditions as the Committee may think fit, and may delegate any of its powers to any its sub-committees and the Committee shall appoint one of its members to be Chairman of each sub-committee. Subject to the approval of the Committee, a sub-committee may co-opt members of the Incorporated Society not being members of the Committee to act in an advisory capacity.

### **33 Expenditure of Money**

- (a) No money shall be expended on behalf of the Incorporated Society without the sanction of the Committee and all Accounts must be submitted and passed by the Committee before being disbursed.

(b) All moneys paid from the Incorporated Society funds shall be paid by cheque signed by the Treasurer and any such other member of the Committee as may be appointed.

(c) The income and property of the Incorporated Society howsoever derived, shall not be paid or transferred, either directly or indirectly by way of dividend, bonus, or otherwise to the members of the Incorporated Society provided always that nothing herein contained shall prevent the payment of remuneration to any officers or servants of the Incorporated Society or to any person not being a member in return for services actually rendered to the Incorporated Society.

#### **34 By-Laws**

(a) The Committee shall have power to make By-Laws not inconsistent with these Rules.

(b) The Committee shall adopt such means as it shall deem necessary to bring to the notice of members of the Incorporated Society all such by-laws amendments and repeals of same and so long as they shall be in force all by-laws shall be binding upon members of the Incorporated Society.

#### **35 Profits to be applied in promotion of objects of the Incorporated Society -**

All profits (if any) and other income of the Incorporated Society shall be applied solely in promoting its objects and no dividend gift, division or bonus in money, or other equivalent, by way of profit or otherwise shall be paid or made by the Incorporated Society unto or among any of the members except to the persons and in the circumstances set out in paragraph 3 of the Memorandum of Association.

#### **36 Common Seal**

The Common Seal of the Incorporated Society shall be kept in the custody of the Secretary and shall only be used by the authority of the Committee and every instrument to which the seal is affixed shall be signed by a member of the Committee and countersigned by the Secretary or by a second member of the Committee or by some other person appointed by the Committee for the purpose.

#### **37 Indemnity**

(a) Subject to the provisions of Incorporated Societies Act no member of the Committee or other office bearer of the Incorporated Society shall be liable for the acts, receipts, neglects or defaults of any other member of the Committee or other office bearer or for any loss or expense or happening to the Incorporated Society through the insufficiency or deficiency of any security in or upon which any of the moneys of the Incorporated Society shall be invested or for the loss or damage arising from the bankruptcy insolvency or tortious act of any person with whom any money, securities or effects shall be deposited or for any other loss, damage or misfortune whatever which shall happen in the execution of the duties of his office or in relation thereto, unless the same shall happen through his own dishonesty.

(b) Subject to the provisions of Incorporated Societies Act each member of the Committee or any sub-committee and all other office bearers of the Incorporated Society shall be indemnified by the members against all claims whatsoever and howsoever arising against him in the exercise of his office or the performance of his duties.

#### **38 Accounts**

(a) The Committee shall cause proper accounting and other records to be kept and shall distribute copies of every profit and loss account and balance sheet (including every document required by law to be attached thereto) accompanied by a copy of the Auditors report thereon as required by the Act provided however that the Committee shall cause to be made out and laid before each annual general meeting a balance sheet and profit and loss account made up to a date not more than one month before the date of the meeting.

(b) The Committee shall, from time to time, determine in accordance with Clause 7 of the Rules Memorandum at what times and places and under what conditions or regulations the accounting and other records of the Incorporated Society shall be open to the inspection of members not being members

of the Committee, and no member (not being a member of the Committee) shall have any right of inspecting any account or book or paper of the Incorporated Society except as conferred by statute or by Clause 7 of the Rules Memorandum or authorised by the Committee or by the Incorporated Society in general meeting.

### **39 Auditor**

(a) Unless otherwise determined to the contrary at the Annual General Meeting once in every year the accounts of the Incorporated Society shall be examined by an Auditor who shall be appointed in accordance with the Act. Such Auditor shall not be disqualified from holding such office by reason of him being a member of the Incorporated Society.

(b) A properly qualified Auditor shall be appointed and his or their remuneration fixed and duties regulated in accordance with the various sections of the Act and Clause 7 of the Memorandum of Association

### **40 Winding Up**

The provisions of Clause 6 of the Memorandum of Association relating to the winding-up or dissolution of the Incorporated Society shall have the effect and be observed as if the same were repeated in these Rules.

### **41 Service of Notices**

(a) Notice may be served by or on behalf of the Incorporated Society upon any member either personally or by sending it by post to a member at his address shown in the Register of Members.

(b) Where a document is properly addressed pre-paid and posted to a person as a letter the document shall unless the contrary is proved be deemed to have been given to the person at the time at which the letter had been delivered in the ordinary course of post.

### **42 Duties of Sub-Committees**

(a) Upon appointment to any sub-committee each member thereof shall retain his position as a member of that sub-committee on a permanent basis unless such member shall resign in writing or alternatively is asked to resign by a 75% majority of the members of such sub-committee or by a 75% majority of the financial members of the Incorporated Society pursuant to the Rules of this Incorporated Society.

(b) All members of each sub-committee shall follow the rules and regulations provided for in the Rules of the Incorporated Society and the by-laws thereof.

(c) All sub-committees shall hold meetings when requested by the Committee or when requested by a majority of members of each sub-committee.

(d) Upon the death or retirement of any member, the remaining members of that sub-committee shall as soon as practicable thereafter appoint a replacement member of such sub-committee who shall thereafter hold such office on such sub-committee in accordance with the terms of these Rules.

(e) Any sub-committee member shall be eligible to serve as either a member of the Committee or alternatively as a member of any other sub-committee of the Incorporated Society.

(f) It is the duty of the Committee to appoint the initial members of each subcommittee.

(g) All four subcommittees have on request full access to all information held by the treasurer, secretary and registrar of the society while that subcommittee is making legitimate inquiries as part of their functioning and may make further inquiries of members or the public as they see fit.

A Breeding Directive Committee.

(a) The Breeding Directive Committee shall supervise the breeding of the Holsteiner horse and may select outside stallions at its discretion for use within the breed. The foals of these stallions on passing their classification shall not lose status within the breed.

(b) The Breeding Directive Committee may also deny recognition of stallions so selected if the progeny are below the required standard of the Holsteiner horse.

(c) The Breeding Directive Committee may request DNA testing to establish proof of identity of any horse. Such costs of testing, in whatever way incurred, shall be at the costs of the owner.

B Classification Committee

(a) Classifiers who are not on the Classification Committee may be selected to officiate on classification days by invitation of the Classification Committee provided always that there must be at least one member of that sub-committee present.

(b) The Classification Committee shall consist of at least three classifiers selected by the Classification Committee one of whom shall be skilful in the branding of horses.

(c) Classifiers may come from New Zealand, Australia or Germany by invitation to officiate at classifications or from other countries at the discretion of the Committee.

(d) The Committee shall take the points given by the Classification Committee as binding and irrevocable.

(e) Classifiers may not participate in the inspection for classification of any horse which he owns or in which he has a pecuniary or other interest.

C Performance Testing Committee

(a) The Performance Testing Committee shall select the venue and supervise the proceedings of the performance test.

(b) The test shall be one advocated by the Performance Testing Committee.

D Disputes Committee

a) The Disputes Committee may hear disputes arising from any of the three above sub-committees.

b) Complainers with disputes should first apply to the appropriate sub-committee in writing with their dispute.

c) If the dispute remains unresolved, either party can apply to the Disputes Committee for a hearing.

d) If the complainer refers the dispute to the Disputes Committee, then he/she is responsible for the resulting costs.

e) The Disputes Committee will meet from time to time as necessary.

- f) Disputes with the registrar may also be heard by the Disputes Committee with the same rules as above.
- g) The Committee may also refer matters in dispute to the Disputes Committee.
- h) All disputes are heard by the Disputes Committee on the understanding by both parties that its verdict is final and not open for further appeal.

**43A BREED STANDARDS The Holsteiner Horse.**

1. **General Impression:** A large framed well balanced horse, displaying a bold and noble bearing. The mares should show refinement and femininity: the stallions boldness and masculinity, at the same time displaying an impeccable temperament. The general impression should be one of refinement, balance, agility, suppleness and alert intelligence.
2. **Size:** A Holsteiner mare must measure a minimum of 15.2 HH and a Holsteiner Stallion 16 HH to be eligible for registration. The measurement is taken from the highest point of the wither.
3. **Teeth:** Excessive overshot or undershot, or parrot-mouth will cause disqualification.
4. **Eyes:** Large, alert, kind and expressive, well set to the side of the head.  
**Throat Latch :** Clean and well defined, allowing ample room for flexion at the poll.
5. **Neck:** Sufficiently high set, blending smoothly and cleanly into the withers with good length of rein.
6. **Shoulder:** Long sloping and well angulated allowing for a free swinging stride and alert head/neck carriage; well muscled forearm.
7. **Frame:** Large framed, deep bodied, well muscled, long boned, balanced and well proportioned. Wither should be well defined with a short back and strong flat connection into the loins. The horse should appear to be short along the top line with a lengthy underline.
8. **Hind Quarters:** Long well muscled hip, thigh and gaskin, the highest point of the croup to be the same height from the ground as the withers; tail set neither excessively high or low, but smoothly rounding off the rump.
9. **Legs:** Large boned, with the length in proportion to the body, set straight and parallel when viewed from the front and back; straight, true and squarely set when viewed from the side with hooves pointing directly ahead; strong canons; pasterns sloping approximately 45 degrees and blending smoothly with no change of angle from hooves to the ground.
10. **Hooves:** To be strong, of a rounded and compact shape and sufficiently large enough for the size of the horse. the heels should be wide without being flat.
11. **Gait:** Smooth and fluid with large mechanics, good suspension and naturally free moving. The horse should display suppleness with rounded action and a strong push from the hocks.
12. **Colour:** Solid colours only are acceptable. Bay, black, brown, chestnut or grey.

13. **Temperament:** Good temperament is of the utmost importance, curious, alert, intelligent and friendly.

**43B** The Society will work within the 2008 breeding directive from the Verband:

**“Breeding Concept from the Verband der Züchter des Holsteiner Pferdes e. V. for foreign Horse Associations**

The German Holsteiner Verband (Verband der Züchter des Holsteiner Pferdes e. V.) accepts the breeding of Holsteiner horses from autonomous associations in foreign countries.

The great tradition and the particular quality traits of the Holsteiner horse breed shall always be in the front of the breeding work. Basically the Holsteiner Verband can adopt that foreign associations accept various bloodlines up to a limited extend. However, the Holsteiner Verband expects that every foreign breeder is ambitious to increase the Holsteiner blood proportion of their breeding products. An important requirement of the Holsteiner Verband is that no Paints, Quaterhorses or similar breeds that do not fit into the sport horse type will be entered into the studbooks.

Foreign associations are only allowed to call a horse as a "Holsteiner" and to keep it in its main studbooks (Holsteiner Stud Book see Clause 46 below):

- if the horse carries at least 50 % Holsteiner blood.
- if the modified Holsteiner seal/brand (described below) with the letters of the respective country on either side is or will be used.
- if the sire of the horse or the foal is approved and licensed in the foreign association and himself has at least 50 % Holsteiner blood.

The foreign association may continue using an approved stallion, which until now was accepted in its breed.

Furthermore the foreign association is only allowed to accept thoroughbred blood or mares with other bloodlines if with this pairing the offspring attain the required 50 % of Holsteiner blood.”

**44 The Owner.**

1. The Association considers that it is the owner of the horse who is the person primarily responsible for the care and welfare of the horse at all times.

2. It is the owner's responsibility to keep and have available as necessary appropriate Association documentation for their horse.
3. The owner is responsible for passing on the documentation to the new owner when there is a change in ownership.
4. It is the new owner's responsibility for the preparing of the change of ownership documentation, signed by both parties, and forwarding it to the registrar.
5. It is the owner's responsibility to inform the registrar of the death of their horse.
6. The owner should make available DNA, microchip and registration information about their horse on request to members of the committee, any of the association's subcommittees and for identification purposes, to officials at meetings for legitimate enquiries.

#### **45 The Association Brand.**

1. The brand of the Association which is an incorporated society is a registered trade mark in New Zealand.
2. It takes the form of the stylized Holsteiner H inside a device (square or shield) with or without a ribbon on top, an N to the left of the box and a Z to the right of the box.
3. It shall be used at classification only.
4. Branding is for foals who qualify at classification for the Holsteiner Stud Book.
5. It shall be applied to the near thigh.
6. Branding shall be hot branding and application must comply with the laws of New Zealand.
7. The foal owner can opt not to have the foal branded but still be recorded in the Holsteiner stud book.
8. Branding must be described in the classification papers.
9. If for any reason the foal cannot be branded at classification or the branding is ineffective, the owner can present the foal at a subsequent classification. Only foals with appropriate documentation presented by the owner will be eligible for branding or rebranding in this way. In special circumstances, a foal owner can appeal to the committee who may arrange an appropriately supervised rebranding session. This is at the discretion of the committee.
10. The ownership of the mark of the brand shall remain with the ~~brand~~ trustee of the brand. The trustee of the brand holds the ownership on behalf of the Association. The trustee must keep the ownership current and can apply to the Association for refund of the expenses involved. The trustee of the brand can pass the trustee-ship on to another trustee of his choosing when he wishes to relinquish the post.
11. A second brand is available to horses qualifying for other Stud Books ~~2 to 6~~.
12. This brand takes the form of the stylized Holsteiner H within a device surrounded by an N, a Z and a D.

**48 Stud Branding.**

- 1) For identification purposes, foals should be either stud/veterinarian branded or microchipped or can have both.
- 2) When used for identification purposes the branding must:
  - a) Identify that horse (eg a number plus a stud or veterinarian brand).
  - b) The veterinarian performing the branding must be certain of the horse's identity.
  - c) Branding is usually carried out as part of foal recording with foal description, microchipping and DNA sampling.
- 3) If foal is microchipped, stud branding is optional.
- 4) Branding can be hot or freeze branding.
- 5) Stud brand should be on the near shoulder, the number brand on the off shoulder.
- 6) Branding if present must be described in the foal recording papers.
- 7) It should be carried out within New Zealand law.

**49 Microchipping.**

- 1) Microchipping is optional.
- 2) For identification purposes, foals should be either stud branded or microchipped or can have both.
- 3) The Association encourages microchipping over stud branding.
- 4) Veterinarian must identify the horse at time of microchipping.
- 5) Veterinarian and registrar must keep records of chipping details.
- 6) These details should be able to be accessed by the public for legitimate enquires.
- 7) Microchipping is usually carried out as part of foal recording with foal description, optional stud branding and DNA sampling.

**50 DNA Testing**

- 1) The collection, labelling and dispatch of the sample for DNA testing should be performed by a veterinarian.
- 2) The veterinarian should identify the horse at the time of sampling.
- 3) DNA sampling is carried out as part of Foal Recording with foal description, stud branding and/or microchipping.
- 4) DNA sample should be dispatched to the Massey Laboratory or other laboratory approved from time to time by the committee. Documentation accompanying the DNA sample to the laboratory should make it clear that charging should go through the HHANZ.
- 5) The DNA results should be made available at the laboratory for general horse identification and parentage testing without need for further permission from the horse owner. The owner should sign for this on the form that accompanies the specimen to the laboratory.

## 51 REGISTRATION PROCEDURE for FOALS

### APPLICATION FOR PROVISIONAL FOAL REGISTRATION. (Foal Recording)

- 1) Provisional Foal registration should be performed by a veterinarian.
- 2) It should be performed before weaning but the results, including the DNA results, should be available at classification.
- 3) Horses can be registered later in life with the permission of the committee. (6.1.5 below)
- 4) Veterinarian records "claimed identity" (the sire and dam) of foal. If the DNA of the Sire or Dam is not available at the approved laboratory, he must view and report such documentation as is available that proves the sire or dam and the service certificate, the date of service or of providing the semen, the insemination documentation and if ovum transplant was involved, the veterinarian documentation of that procedure.
- 5) It is the responsibility of the owner to produce the above records for inspection.
- 6) Veterinarian fills in the appropriate DNA and Association papers. He must verify the Sire service either by his personal knowledge of the service or by viewing the semen delivery docket or the stallion service certificate.
- 7) He i) brands the foal with number and stud/veterinarian brand (see stud brand section)  
ii) or microchips it (see microchip section),  
iii) or both.
- 8) Veterinarian takes sample for DNA testing, seals it and the veterinarian dispatches it to approved Laboratory.
- 9) The owner is responsible for the costs and also pay the registration fee (the association registration fee includes the laboratory DNA testing fee).
- 10) The owner is responsible for dispatching the forms to the registrar.
- 11) DNA of both Sire and Dam must be available at the approved laboratory and be consistent with their status in the stud book.
- 12) If DNA results consistent, "claimed identity" becomes "identity" and provisional registration completed.
- 13) See below (Article VI) for qualifications needed for foal registration and more detailed procedures.
- 14) It is recommended that colour photos be taken showing the horse's markings and be kept as a permanent record with the horses registration papers.

# BREEDING AND REGISTRATION RULES

## ARTICLE I – GENERAL POLICY

### 1.1 Breeding Standards

The **Holsteiner Horse Association of New Zealand, Inc.**, (hereinafter referred to as HHANZ) is committed to adhere as closely as possible to the selective breeding standards practiced since the 13<sup>th</sup> century by the Holsteiner horse breeders of the Province of Schleswig-Holstein, given obvious geographic and administrative differences. The HHANZ recognizes the Verband der Züchter des Holsteiner Pferdes, Elmshorn, Germany (hereinafter referred to as Holsteiner Verband) as the ultimate authority on the Holsteiner bloodlines.

### 1.2 Breeding Goal

Our goal is to produce a well-balanced, sturdy horse possessing Holsteiner blood. Ideally the horse should stand 16-17 hands and have round, generous strides and a natural, elastic movement. We see to produce a bold horse of even, willing temperament that is physically and mentally able to perform at a level of excellence in the modern sporthorse disciplines. (see 43A above)

## ARTICLE II – OFFICIAL BREEDING STOCK APPROVALS

2.1 In order to achieve the stated Breeding Goal, the HHANZ will conduct stallion and mare approvals once each year.

### 2.2 Stallion and Mare Approvals

2.2.1 Stallions will be judged by an official Inspection Team or will present a record of performance in sport. It will be the duty of the committee to appoint the official inspection team for each tour. For stallions being inspected by the HHANZ Inspection Team, evaluation will be as described in Section 3.2.2.

2.2.2 Mares will be judged by an official Inspection Team. Presentation will be standing, at the walk and at the trot; all gaits will be observed at liberty.

- 2.2.3 Stallions and mares will be graded on a scale from 1 to 10 for each of the following:
- 1) type
  - 2) topline
  - 3) front legs
  - 4) hind legs
  - 5) walk
  - 6) trot
  - 7) canter
- 2.2.4 Traditional Holsteiner Verband and HHANZ breeding goals will serve as the standard for grading.
- 2.2.5 Stallions may be presented more than once.
- 2.2.6 Mares may be presented for boniting more than once without penalty. In cases where bonit scored improve, the new scores will be recorded and announced and mares will be eligible for recognition. In cases where bonit scores remain the same or are lower, the scores will not be recorded or announced, and the mare will not be eligible for any recognition.
- 2.2.7 The decision of the HHANZ Inspection Team is final and may not be appealed.
- 2.2.8 Horses will not be inspected outside New Zealand except by special application to either HHANZ or Holsteiner Verband in Germany only.

### **Inspection Team**

- 2.3.1 The Committee will appoint the members of the official Inspection Team for each tour.
- 2.3.2 Every effort will be made to select persons of the highest integrity and the greatest possible expertise to serve in this capacity. The team will include one or more Holsteiner Verband classifiers and may also include one or more Australasian classifiers or trainee classifiers.

- 2.3.3 In whatever manner possible, the immense experience and expertise of the Holsteiner Verband will be sought and welcomed in composing and/or training the official Inspection Team.
- 2.3.4 Membership on the HHANZ Inspection Team will not necessarily be limited to HHANZ members or to the breeders of Holsteiner horses.
- 2.3.5 It will be the duty of the Committee in appointing the Inspection Team to avoid any conflict of interest to whatever extent possible in the selection of the Inspection Team.

### **ARTICLE III - STUDBOOKS**

#### **3.1 The Studbooks**

- 3.1.1 In the Studbooks are listed HHANZ inspected and approved breeding stock whose offspring are potentially eligible for recording in the Registry Book. (See Section 3.2.2 and 3.3 for specific Studbook division requirements.) No horse may enter the studbooks until requirements are complete.
- 3.1.2 The official Studbooks of the HHANZ shall include the following divisions to record approved breeding stock:
- Purebred Stallion Book
  - Stallion Book
  - Approved European Warmblood Stallion Book
  - Purebred Main Mare Book Premium Select (PMMP)
  - Purebred Main Mare Book Premium (PMMP)
  - Purebred Main Mare Book (PMM)
  - Purebred Mare Book (PM)
  - NZ Main Mare Book Premium Select (MMPS)
  - NZ Main Mare Book Premium (MMP)
  - NZ Main Mare Book (MM)
  - NZ Mare Book (M)
  - NZ Provisional Mare Book

## 3.2 The Stallion Book

### 3.2.1 General Stallion Book Requirements

3.2.1.1 A Stallion must hold either a current temporary breeding permit or a permanent license.

3.2.1.2 HHANZ approved stallions will be permitted to stand with other associations concurrently.

3.2.1.3 A stallion that is determined by the HHANZ to be passing serious genetic defects to his offspring resulting in detriment to the Holsteiner breed may be removed from the HHANZ Stallion Book by a two-thirds vote of the HHANZ Committee after consultation with the Holsteiner Verband.

3.2.1.4 All fees must be current and the owner of the stallion must be a Full Member of the HHANZ and must be in good standing with the HHANZ.

### 3.2.2 Categories of Stallions

3.2.2.1 There shall be 3 types of approved stallions (Pure Bred Stallion Book).

a) "Verband Licensed Stallions" (VLS). (Mostly available here through frozen semen from Germany or by leasing of Verband stallions to NZ).

b) "Verband Approved Stallions. (VAS). Stallions that have been in Europe, successfully completed the 100 day test, may be competition proven, approved but not licensed by Verband.

c) Full Holsteiner stallions bred in NZ who go through an approval process (see below) at classification "HHANZ Approved Holsteiner Stallion" (NZAS). This class is for local stallions that the classifier considers are near to Verband Licensed Stallion standards.

3.2.2.2 Stallion Book shall be for stallions that must be at least 50% Holsteiner, comply with the size and other description of the Holsteiner horse and pass classification at a Classification tour.

Note: Stallions standing in New Zealand and licensed by the previous associations to stand in New Zealand on 1<sup>st</sup> November, 2008 will continue in their present status.

3.2.2.3 To be eligible for listing in the Stallion Book, stallions must complete one of the following (3.2.2.3.1 – 3.2.2.3.6):

3.2.2.3.1 a) Be registered in the Registry Book of the HHANZ

b) Have a Holsteiner Verband Studbook dam.

- c) Be at least three years of age at time of presentation for HHANZ stallion inspection.
- d) Stand at least 16.0 hands
- e) Those stallions that are at least three years of age but not yet reaching their sixth birthday may be presented for preliminary approval to an official HHANZ Inspection Team. The Inspection Site for such testing will be determined by the Inspection Team. They will be presented on hard ground and will be judged on the triangle, at liberty and free jumping. Stallions will receive bonit scores in all categories described in f) below with the exception of rideability and performance potential. Those horses that pass the preliminary approval will be granted a Temporary Breeding Permit (3.2.3) and must complete the requirements set forth in 3.2.2.3.1.f or 3.2.2.3.1.g by July 31 of their sixth year.
- f) Mature stallions or those stallions completing 3.2.2.3.1.e must, at an official HHANZ Approvals site, attain a passing score of 67 points, with a minimum of 47 points for the bonit points with type no less than seven; of the total score, rideability with be worth 10 points, pedigree 10 points and performance potential 10 points, with no subgroup less than six.
- g) In lieu of returning to an official HHANZ Inspection Team, a stallion which passes the Preliminary Stallion Approvals may elect to complete the testing option as set forth in 3.2.4.1 and must achieve an overall minimum score as published by the HHANZ on August 1<sup>st</sup> of the year the stallion is initially presented.
- h) Pass the official veterinary inspection at an official HHANZ Approval site including X-Rays of the Stallions legs no longer than three months prior to inspection.
- i) Pass the drug screening performed on blood drawn at an official HHANZ Approval site by a licensed veterinarian.
- j) Failure to attain a passing score, failure to pass the veterinary inspection and/or a positive drug test will result in disapproval.
- k) The stallion must have fully formed testicles.

- 3.2.2.3.2 Those stallions having been successfully approved by the German Holsteiner Verband but not yet completing any of the other stallion requirements will be granted a Temporary Breeding Permit, as the committee sees fit.
- 3.2.2.3.3 Those Holsteiner stallions that have been successfully approved by the German Holsteiner Verband and having completed the 30, 70, and 100 Day Test in Germany or its equivalent in any EU country and attaining the results set forth in the most recent HHANZ published requirements for inclusion in the purebred studbooks will be eligible for a Full Breeding Permit with the HHANZ regardless of where the stallion stands.
- 3.2.2.3.4 Those outcross improvement stallions currently recognized by the Holsteiner Verband~~n~~ will be eligible for a Temporary Breeding Permit (3.2.3) with the HHANZ regardless of where the stallion stands, under petition to the committee.
- 3.2.2.3.5 Those outcross stallions which are not currently recognized by the German Holsteiner Verband that either (a) were once approved by the German Holsteiner Verband and successfully attain the results as set forth in the most recently published NZHSA requirements for inclusion in the studbooks, or (b) were once recognized by the German Holsteiner Verband because of their accomplishments in the sport, and currently fulfill the most recently published HHANZ requirements for sport, will be eligible for a Temporary Breeding Permit (3.2.3) regardless of where they stand will be taken on a case by case basis by the committee.
- 3.2.2.3.6 Those registered Holsteiner or outcross stallions standing in New Zealand that have successfully fulfilled the most recent HHANZ published requirements for inclusion in the studbooks because of extraordinary accomplishments in sport may be eligible for a Temporary Breeding Permit (3.2.3) upon a 2/3 majority of the Committee deciding in favour of inclusion.
- 3.2.2.4 Approved European Warmblood Stallion Book. For stallions of various approved European Warmblood breeds who would qualify as in categories 3.2.2.1 a, b and c above for their own breeding authority.

### 3.2.3 **Temporary Breeding Permit**

- 3.2.3.1 Stallion candidates that meet Studbook requirements must submit an official Stallion Book Application to the HHANZ. The application must be accompanied by:
- a) Notarized or verified copies of all registration papers, foreign and domestic.
  - b) Conformation photograph for the Stallion book.

- c) The appropriate listing fee paid in full.
- d) DNA typing on file with the HHANZ.
- e) If applicable, documentation of the completion of the 100-Day Test as specified in 3.2.4.1 or documentation of successfully meeting the performance in sport requirement as in 3.2.4.2.

3.2.3.2 A newly approved stallion will receive a Temporary Breeding Permit and will be listed in the Stallion Book for two seasons.

#### 3.2.4. **100-Day Test Option**

3.2.4.1 Official documentation of the stallion's completion of a HHANZ recognized domestic (including the shortened version) or foreign 100-Day Test, achieving the results as set forth in the most recent HHANZ published requirements for inclusion in the studbooks, will be accepted as fulfillment of the Performance Test requirement.

3.2.4.2 **Performance in Sport Option** To fulfill this requirement, the stallion must fulfill the performance requirements in dressage, show jumping or combined training as published by the HHANZ on January 1 of the year the stallion is first presented, regardless of whether it was a preliminary or final approval.

#### 3.2.5 **Foal Crop Inspection**

3.2.5.1 Foals sired by an approved stallion during or prior to his probationary period will be examined by the HHANZ Inspection Team to determine whether the stallion is passing any serious genetic defects. In questionable instances (i.e insufficient number of foals), the HHANZ Breeding Committee reserves the right to extend the probationary period for one year.

#### 3.2.6 **Final Approval**

3.2.6.1 Stallions successfully completing the above requirements will be issued a Breeding License.

3.2.6.2 Stallions failing to successfully complete the above requirements will be officially disapproved. The registration status of offspring conceived during the probationary period will be unaffected.

#### 3.2.7 **Responsibilities of Stallion Owners**

##### 3.2.7.1 **Annual Stallion Activation**

- 3.2.7.1.1 An approved stallion is activated for a given breeding season when the following conditions have been met:
- a. The stallion owner or agent is a member in good standing with the HHANZ (has not violated HHANZ rules and current Full or Life Membership dues have been paid).
  - b. The annual stallion activation fee has been paid by May 31 of the year prior to the activation year.
- 3.2.7.1.2 Completed and signed Activation Applications and Stallion Activation Fees will be accepted after May 31 of the year prior to the activation year, up to and including July 31 of that same year, but will incur an administrative late fee equal to the current stallion activation fee. Newly activated and/or stallions that have changed ownership will not incur an administrative late fee. The committee may decide on a lesser Stallion Activation fee for frozen semen from an overseas Stallion.
- 3.2.7.1.3 The stallion owner will receive a Certificate of Activation from the HHANZ when all obligations have been met, verifying the “active” status of the stallion for the designated breeding season. This document, or copies of it, should be made available to mare owners at the time of booking.
- 3.2.7.1.4 The owner of an approved stallion may elect not to activate his stallion for a given breeding season without penalty.
- 3.2.7.1.5 If an inactive approved stallion is sold, the new owner may activate the stallion, but only after the transfer is complete and recorded with the HHANZ.
- 3.2.7.1.6 If an active approved stallion is sold, the activation is transferred to the new owner, but only after the transfer is complete and recorded with the HHANZ.
- 3.2.7.1.7 The individual and/or entity importing semen from outside of New Zealand from a stallion meeting all of the requirements of Section 3.2.2 of the breeding rules, acting as a de facto agent of the owner, is required to:
- a. Pay the current annual stallion activation fee prior to insemination of the mare.
  - b. Be responsible for all the related paperwork required of a Stallion owner.

- c. An additional one-time licensing fee is required to be paid by the individual and or entity if they want the stallion to be included in the studbook and in the stallion roster.
- d. In those circumstances where more than one individual and/or entity imports semen from the same stallion both individuals and/or entities are required to act independently and are required to maintain separate paperwork and pay all related fees.

### 3.2.8.1 **Stallion Breeding Certificate**

3.2.8.1.1 The Breeding Certificate shall be executed in triplicate and signed by the stallion owner and the mare owner. The first and second copies go to the mare owner and the third copy may be retained by the stallion owner. The mare owner may be required to submit the original (white copy) to the veterinarian with the application for registration of the foal.

### 3.2.8.2 **Breeder's Waiver**

3.2.8.2.1 The official HHANZ Breeder's Waiver (optional) may be completed and files with the HHANZ. This document is encouraged for the mutual protection of the mare owner and the stallion owner.

3.2.8.2.2 The stallion owner is required to indicate on the Breeder's Waiver the current status of the stallion as to active/inactive.

### 3.2.8.3 **Delivery Certificate for Transported Semen: Mare Passport**

3.2.8.3.1 The stallion owner shall be responsible for maintaining breeding records including original copies of the Delivery Certificate for Transported Semen and Mare Passport for all mares artificially inseminated.

## 3.3 **Mare Books**

### 3.3.1 **Definition for Mare Qualification in New Zealand Stud Books**

3.3.1.1 A qualified mare is one which meets the following criteria:

- a. Stands at least 15.2 hands and is at least two years of age.
- b. Holds full registration paper as:
  1. a registered Holsteiner (7/8 or greater Holsteiner qualify for the purebred books) or....

2. a New Zealand Thoroughbred Register or New Zealand Anglo-Arab...
  3. a European born and registered Warmblood from an HHANZ recognized studbook with a European approved sire or...
  4. an American born and American or European registered Warmblood from a HHANZ recognized studbook with a European or American approved sire. Holds documentation of a three-generation pedigree in which only horses described above appear,
- 3.3.1.2 Upon application to the Committee, mares from non HHANZ recognized studbooks may be considered for qualified mare status.
- 3.3.1.3 All other mares seeking qualified status must follow the established petition process.
- 3.3.1.4 A qualified mare enters the breeding program by being presented at a recognized HHANZ approval and scoring the minimum bonit points required for Studbook entry.
- 3.3.1.5 Mares that by pedigree qualify for inclusion in the HHANZ studbooks, but fail to attain the minimum height, may enter the Provisional Mare Book.
- 3.3.1.6 All qualified non-Holsteiner mares will be eligible for the studbook only upon scoring a minimum of NZ Main Mare Book bonit points.
- 3.3.1.7 Imported Holsteiner Verband approved mares with German bonits will be entered into the appropriate Mare Book with no further inspection required; however they must first be dual-registered with the HHANZ and meeting all other requirements for Studbook entry.
- 3.3.2 **Purebred Main Mare Book Premium Select (PMMPS) and NZ Main Mare Premium Select (NZMMPS)**
- 3.3.2.1 To be eligible for listing in the Main Mare Premium Select Books, candidates must attain a minimum score of 50 points at an official HHANZ Approval (HHANZ Approval hence forward means approval by the tour Inspection Team) with no subgroup less than six and a score of seven or more in type.

### 3.3.3 **Purebred Main Mare Premium (PMMP) and NZ Main Mare Premium (NZMMP)**

3.3.3.1 To be eligible for listing in the Main Mare Premium Books, candidates must attain a minimum score of 46 points at an official HHANZ Approval with no subgroup less than six.

3.3.3.2 A mare successfully completing the Mare Performance Test but with a bonit subgroup less than six will not be eligible for upgrade to NZMMP.

### 3.3.4 **Purebred Main Mare Book (PMM) and NZ Main Mare Book (NZMM)**

3.3.4.1 To be eligible for listing in the Main Mare Books, candidates must attain a minimum score of 43 points at an official HHANZ Approval with no subgroup less than five.

### 3.3.5 **Purebred Mare Book (PM) and NZ Mare Book (NZM)**

3.3.5.1 To be eligible for listing in the Mare Books, candidates must attain a minimum score of 39 points at an official HHANZ Approval with no subgroup less than four.

## 3.4 **Honorary Titles for Studbook Horses**

3.4.1 Any approved stallion that has either:

3.4.1.1 Sired a fully approved (licensed German Verband, E.U, and/or NZ Holsteiner Horse Association) breeding stallion, or

3.4.1.2 Completed a 100 day test with a minimum score of 110 bonits, or

3.4.1.3 Produces a foal who competes at World Equestrian Games, Olympic Games or has been awarded a Champion status at a New Zealand National Event, will be designated "ELITE" on its HHANZ Certificate of Registration and in the HHANZ Studbooks. The designation [E] will be used whenever the horse's name appears in a pedigree from that day forward.

3.4.2 Any approved mare that has either:

3.4.2.1 Produced a fully approved (Licenced NZSBA [New Zealand Sporthorse Breeders Association], German Verband, E.U and/or NZ Holsteiner Horse Association) breeding stallion, or

3.4.2.2 Completed a 100 day test with a minimum score of 110 bonits, or

3.4.2.3 Produces a foal who competes at World Equestrian Games, Olympic Games or has been awarded a Champion status at a New Zealand National Event,

will be designated “ELITE” on its HHANZ Certificate of Registration and in the HHANZ Studbooks. The designation [E] will be used whenever the horse’s name appears in a pedigree form that day forward.

- 3.4.3 Any mare attaining Main Mare Premium status at an official HHANZ Approval will be designated “PREMIUM” on her HHANZ Certificate of Registration and will be entered in the Main Mare Book Premium on the HHANZ Studbooks. The designation PMMP or NZMMP will be used wherever the horse’s name appears in a pedigree from that day forward.
- 3.4.4 Any mare that has successfully completed the Mare Performance Test for an official HHANZ Inspection Team will be designated PMPT or NZMPT on its HHANZ registration and wherever the mare’s name appears in a pedigree from that day forward.
- 3.4.5 Any mare that has successfully completed the Mare Performance Test with performance in sport as published in the HHANZ policy manual for the current year will be designated PMPT-S or NZMPT-S on her HHANZ registration papers, and whenever her name appears in a pedigree from that day forward.

### 3.5 **Appendix to the Studbook**

3.5.1 The Appendix (APX) to the Studbook shall include the following divisions:

- a. NZ Provisional Mare Book (PM)

#### 3.5.1.1 **NZ Provisional Mare Book**

3.5.1.1.1 In the NZ Provisional Mare Book will be listed those mares presented for boniting who are ineligible for inclusion in the HHANZ Mare Books due to lack of quality, height, or registration papers, and/or qualified by pedigree or holding HHANZ Certificate of Pedigree, but that otherwise meet the qualified mare definition. Upon fulfillment of the appropriate requirements, these mares may be upgraded into the appropriate Mare Book.

3.5.1.1.2 Offspring of these mares, when bred to HHANZ licensed and activated stallions will be eligible for Certificates of Pedigree. Only those offspring holding a Certificate of Pedigree will be eligible for upgrade upon approval of the dam.

#### 3.5.1.1.3 **NZ Provisional Mare Book Requirements and Categories**

3.5.1.1.3.1 Mares entered into the NZ Provisional Mare Book must fulfill the appropriate requirements below in order to be considered for upgrade:

Qualified Mares with registration papers that:

Score 39-42 Fulfill category A

Score 43 or above Will go directly into Studbooks

Qualified Mares with registration papers but less than 15.2 hands:

Score 39-42 Fulfill category A & B

Score 43 or above Fulfill category B

Holsteiner mares with registration paper but less than 15.2 hands:

Score 39 or above Fulfill category B

Qualified mares that are registered warmbloods but are by a non-approved sire:

Score 39-42 Fulfill category A

Score 43 or above Fulfill category C

Mares qualified by pedigree but with no papers:

Score 39-42 Fulfill category A

Score 43 or above Fulfill category C

Categories:

- A. Produce two adult offspring scoring NZ Main Mare Book points
- B. Produce two offspring attaining a minimum height of 15.2 hands
- C. Produce two Premium foals, one Premium foal and one adult offspring scoring NZ Main Mare Book points, or two adult offspring scoring NZ Main Mare Book points.

3.5.1.1.3.2 Mares may also qualify through performance in one of two ways:

- a. Mare's performance records. (See requirements for Stallion Performance 3.2.4.2)
- b. Performance record of two offspring. (See requirements 3.2.4.2)
- c. NZ Provisional Mares, with registration papers, that have scored 42 points will be eligible for entry into the appropriate Mare Book upon successfully passing the Mare Performance

Test in front of an HHANZ Inspection Team. Their foals will be eligible for upgrade for a Certificate of Pedigree to a full registration with payment of any applicable fees.

#### **ARTICLE IV – REGISTRY BOOK**

##### **4.0 The Registry Book**

4.1 Offspring of HHANZ approved and activated stallions and mares are eligible for the Registry Book if the dam is recorded in the Studbooks of the HHANZ.

4.2 German Holsteiner Verband registered Holsteiners are eligible for dual registration in the Registry Book if the dam has Holsteiner Verband bonits equivalent to the HHANZ Mare Book standards.

4.3 Horses registered in the Registry Book will receive Black and White papers and are eligible for the Association brand.

#### **ARTICLE V – REGISTRATION REQUIREMENTS**

##### **5.1 General Requirements for Registration**

5.1.1 Only horses which can document a minimum of one Approved Holsteiner (HOL) parent out of eight in the third generation will be considered for registration.

5.1.2 Foal conceived by natural service or artificial insemination (AI) will be eligible for registration. The use of transported semen (TS) will be permitted only when the procedures set forth in the most recent HHANZ published requirements are strictly followed.

5.1.3 Foals produced by embryo transfer and frozen semen will be eligible for registration under the provisions of section 5.1 and 6.1 of the breeding rules with the following additional controls:

- a. Both natural parents and the foal must be DNA typed and the foal parentage verified.
- b. The applicant foal must be clearly identified as either an embryo transfer or as the result of frozen semen on the application. The foal's verified parentage status will appear on the Registration Certificate or Certificate of Pedigree.

- 5.1.4 Person or entity presenting horses for registration must be current members of the HHANZ.
- 5.1.5 Offspring of HHANZ listed and activated stallions and mares recorded in the HHANZ Studbook will be considered for registration.
  - 5.1.5.1 Offspring of mares recorded in the HHANZ Studbooks or Auxiliary Mare Book resulting from semen shipped from outside of New Zealand will be considered for registration if the stallion fulfills the requirements for inclusion in the HHANZ Stallion Book as outlined in section 3.2.
- 5.1.6 Offspring of Holsteiner Verband approved stallions standing outside New Zealand and Holsteiner Verband registered Holsteiner mares will be considered for registration. The only exception to this rule is offspring that qualify for registration under the provision of section 5.1.2.
- 5.1.7 Offspring of Holsteiner Verband approved stallions physically standing in New Zealand will not be registered unless the stallion is dual registered with the HHANZ and all obligations for licensing and activation of the stallion with the HHANZ have been met by the owner.
- 5.1.8 Offspring produced by a stallion and/or a mare prior to their approval and completed application process to the Studbooks will be eligible for retroactive registration, provided that:
  - a. Both the stallion and mare have met all the qualifications for inclusion in the studbooks under section 3.2 and 3.3 of the breeding rules, respectively.
  - b. Both natural parents and the offspring have been DNA typed and parent verified.
  - c. Evidence of the date of birth of the offspring is provided to the HHANZ office, and
  - d. All applicable fees have been paid.
- 5.1.9 Offspring of an HHANZ approved stallion conceived during any breeding season in which the stallion was not certified active according to HHANZ rules will not be eligible for registration. The responsibility will rest with the mare owner to verify the current active/inactive status of the stallion prior to service.
- 5.1.10 Only horses holding Pre-Registry papers can be retroactively registered in the Registry Book upon later upgrade or approval of the dam.
- 5.1.11 Retroactive registration is not permitted beyond the first generation offspring of the upgraded horses in question except in the case of Pre-Registry horses.

- 5.1.12 Horses recorded in the Holsteiner Verband will be incorporated into the appropriate HHANZ Registry Book only if they meet all HHANZ requirements.
- 5.1.13 In cases where an HHANZ approved stallion also stands with another New Zealand registry, his offspring which are born in New Zealand may not apply for dual registration, but may apply to only one registry for foal registration at the normal fee. The foal will receive only one registration.
- 5.1.14 All DNA records will be held securely by HHANZ and the authorized laboratory and will be made available to the committee and its subcommittees for legitimate identity and parentage inquiries.

## 5.2 **Color and Marking Limitation That Preclude Registration**

### 5.2.1 Preferred colors are

- a. Bay
- b. Black
- c. Brown
- d. Chestnut
- e. Grey

### 5.2.2 Unacceptable markings include:

- a. Two or more white spots larger than a dinner plate (pinto)
- b. Spotting patterns or marking typical of the American Appaloosa

### 5.2.3 The HHANZ Breeding Committee will determine acceptance in cases of questionable coloring and/or marking.

## **ARTICLE VI – PRODECURES FOR REGISTRATION**

### 6.1 **Application for Provisional Foal Registration**

(note: “provisional foal registration”, otherwise known as “foal recording”, becomes a “registration” after the horse has had a satisfactory “classification”. In New Zealand, this “classification” is only done as part of a classification tour).

- 6.1.1 No foal registration application will be considered unless the following required documents are on file with the HHANZ.
- a. Sire's annual Certificate of Activation
- 6.1.2 Foals applying for registration under the provision of 5.1.8 must have all of the following required documents on file with the HHANZ.
- a. Documentation of Sire's appropriate Activation fee payment.
- 6.1.3 The Application for Registration should be submitted to the HHANZ along with the following:
- (Note: If the Sire and Dam are already registered with the Association and their DNA is on file and available at the approved Laboratory, then the only documentation necessary is the Association application form for registration and the DNA form. In completing these forms, the veterinarian must verify the service either by sighting the stallion service certificate or by being involved in the service procedure).
- a. A notarized or verified copy of the dam's Registration Certificate unless one is on file.
  - b. Documentation of the dam's identity, including a three-generation pedigree and diagrams or description of her markings, unless on file.
  - c. The appropriate fee (to cover Association fee and DNA testing).
  - d. Colour photos showing clearly all markings or lack of marking should be taken and kept with the registration papers but need not be submitted to the HHANZ.
- 6.1.4 The registration application must be performed by a veterinarian who checks the markings, documentation, perform the DNA sampling (10.2.1-10.2.6) and shall permanently identify the horse by branding and/or microchipping, while the owner is witness to the markings and provides the documentation and is responsible for the veterinarian's fee.
- 6.1.5 Horses whose registration applications are submitted after 48 months of age will be considered upon completion of the following:
- a. Either the sire or the dam must have been in either the HHANZ or NZ thoroughbred studbooks at the time of conception.

- c. Serving certificate
  - d. The horse must be parent-verified.
  - e. The appropriate fee must be remitted.
- 6.1.6 The owner of the mare covered by more than one stallion in the same breeding season must furnish a DNA typing report from Massey University on the resulting foal for parentage verification purposes before it can be registered.
- 6.1.6.1 Foals will be graded on a scale of 1 to 10 for:
- a) Type and
  - b) Movement
- 6.1.6.2 If a foal obtains a grade of 14 or higher, the foal will be certified "Premium". The minimum score point must be 7 for type.
- 6.2 **Application for Dual Registration**
- 6.2.1 The following must accompany the application for dual registration:
- a. The original Holsteiner Verband registration papers
  - b. Photos showing all markings
  - c. The appropriate fee.
- 6.2.2 Horses with German Holsteiner Verband papers containing "Vorr" or "nicht eingetragen" (or similar language translating to indicate "withdrawn" or "not approved") will not be automatically dual registered. They will be considered on a case-by-case basis.

## **ARTICLE VII – CERTIFICATE OF REIGISTRATION**

- 7.1 **Certificate of Registration**
- 7.1.1 Only one Certificate of Registration will be issued per horse and should remain in the possession of the owner, although it is officially the property of the HHANZ.
- 7.1.2 In certain unusual circumstances, a duplicate Certificate of Registration may be issued and will clearly show the work "DUPLICATE" on the front of the document.

### 7.1.3 **Amendments to the Registration Certificate**

#### 7.1.3.1 **Transfer of Ownership**

7.1.3.1.1 The original registration certificate accompanied by a Transfer of Ownership, signed by the new and former owners, shall be submitted with the proper fee to the HHANZ for recording of the transfer.

7.1.3.1.2 The ownership transfer will be recorded on the Certificate of Registration.

#### 7.1.3.2 **Neutering**

7.1.3.2.1 If an HHANZ registered horse is castrated or spayed, the owner should submit to the Registrar a report of neutering together with the original HHANZ papers for documentation. No fee is required.

#### 7.1.3.3 **Death of a Registered Horse**

7.1.3.3.1 Upon the death of a registered horse, its original Certificate of Registration with the date of death noted thereon should be submitted to the HHANZ for canceling and recording. The canceled Certificate of Registration may be returned to the owner.

### 7.2 **Naming of the Horse**

7.2.1 No horse may be registered under a name which is a duplicate name of a horse, alive or dead, which has already been registered in the books of HHANZ. Full male siblings, however, may use duplicate names with the appropriate ordinal (e g., Calypso I, Calypso II, etc).

7.2.2 Male offspring must be named such that the first letter of the name is the same as the first letter of the sire's name.

7.2.3 Female offspring must be named such that the first letter of the name coincides with the year of birth according to the naming schedule designated by the HHANZ. The HHANZ schedule will coincide with that of the Holsteiner Verband to avoid confusion in the case of imported mares. Fillies born in 1986 will be registered with names beginning with the letter "A". Correspondingly, fillies born in 2004 will be registered with names beginning with the letter "S".

7.2.4 Names will be limited to no more than eighteen letters, including spaces.

- 7.2.5 Any communications with the HHANZ in regard to a registered horse must refer to the horse by its registered name.
- 7.2.6 Use of the sire's name as part of the foal's registered name is discouraged.
- 7.2.7 Upon application to the Association and payment of the scheduled fee, a horse's registered name may be changed until such time as it enters the studbooks of the HHANZ.
- 7.3 **Age**
- 7.3.1 The age of a horse shall be its true age, including day, month and year of birth.

## **ARTICLE VIII – ASSOCIATION BRANDING**

- 8.1 **Branding**
- 8.1.1 Association Branding irons will remain in the possession of the HHANZ or its designated representatives and may be used by authorized representatives of the HHANZ only.
- 8.1.2 The official brander will use the classification sheet supplied by the HHANZ together with the registration papers with details of the microchip/branding details to identify the foal for branding.
- 8.1.3 The owner is required to produce the original Certificate of Registration which will be dated and signed by the brander.
- 8.1.4 The HHANZ will maintain a permanent file of signatures of its representatives authorized to use the brand as well as a file of the signed affidavits.
- 8.1.5 All official HHANZ branding will be carried out as part of the classification tour. An additional veterinary fee may be charged to be paid by the owner
- 8.1.6 Private branding may be arranged with the committee. In this case, the owner must bear the total cost of the brander's travel expenses.
- 8.1.7 The brand for horses with Holsteiner blood with 50% and over will consist of a shield or box with the stylized "H" with "N" on the left and "Z", with or without two numerals underneath, corresponding with the numbers on the registration papers.

For horses with less than 50% Holsteiner blood but equal or more than 25% Holsteiner blood, the brand will consist of the stylized “H” within a shield or box with “N” on the left and “Z” on the right and a “D” underneath and with or without two numerals underneath, corresponding with the numbers on the registration papers.

## **ARTICLE X – BLOOD AND DNA TYPING**

### **9.1 Blood and DNA Typing Requirements for Studbook Entry**

9.1.1 All horses must be DNA typed at the owner’s expense by Massey University or any other such facility approved by the committee of the HHANZ prior to entering the Studbooks. An official copy of the DNA typing report shall be filed with the HHANZ.

9.1.2 Prior to June 1, 1999 blood types will be accepted for stallions, foundation mares and imported Holsteiner mares entering the studbooks in one of the following ways:

- a. Completion of an HHANZ recognized blood typing passport by the veterinarian (witnessed by the owner/agent) at the time the blood is drawn on the farm.
- b. Having the blood drawn by the official veterinarian as part of the verified identification at an official HHANZ Approval.
- c. Having the blood type supplied by another recognized registry (non-VBT).

9.1.3 After June 1, 1999, only DNA typing will be accepted for those horses entering the Studbooks. DNA sampled will be accepted when submitted following the procedures outline by an NZSBA specified diagnostics laboratory.

### **9.2 DNA Typing Requirements for Registration**

See section 50 above.

9.3.1 Foals produced by embryo transfer and frozen semen will be eligible for registration under the normal rules with the following additional controls:

- a. Both natural parents and the foal must be DNA typed and the foal parentage verified.

- b. The applicant foal must be clearly identified as an embryo transfer on the application and on the Registration Certificate.
- 9.3.2 Horses for whom registration applications are submitted after 48 months of age must be DNA typed and parent verified.
- 9.3.3 The owner of a mare covered by more than one stallion in the same breeding season must furnish a DNA typing report from an HHANZ specified laboratory on the resulting foal for parentage verification purposes before it can be registered.
- 9.4 The HHANZ shall have the right to require a current blood and/or DNA typing report on an HHANZ registered horse, at the expense of the owner, if there is reason to question its identity.
- 9.5 For tests carried out after 1<sup>st</sup> June 1999, blood test alone is not considered adequate; DNA with/without blood testing must be carried out.

#### **ARTICLE XI – SPECIAL CASES**

- 10.1 The HHANZ Committee reserves the right to rule by two-thirds vote upon recommendation of the Breeding Committee in any case which does not fall clearly under the current rules.
- 10.2 In addition, the HHANZ Committee reserves the right to waive HHANZ rules in cases of unusual circumstances or when it is deemed beneficial to the Holsteiner horse.
- 10.3 The HHANZ Committee may, by two-thirds vote at a special meeting, alter or amend any rule when it is deemed beneficial to the Holsteiner Horse.
- 10.3.1 Circulation of the proposed amendments has been made to all members 21 clear days prior to the special meeting.